

Negative List for Market Access (Version 2019)

Prohibited Access List

Prohibited Areas	Prohibited Actions	Prohibited Items
Manufacturing		products, technology, crafts, equipment, activities specifically eliminated by national industrial policies
Real estate		various development activities that do not meet the requirements of the main functional area construction
Finance		illegal financial activities
Internet		illegal internet activities, e.g. posting information of dangerous goods on the internet other prohibited item explicated stipulated by laws, regulation and decrees of the State Council

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Restricted Access List

Restricted Areas

Agriculture,
Forestry,
Animal Husbandry,
Fishery

Restricted Items

specific plants, seeds and seedlings

agriculture and forestry plants and their products,
animals, animal products, agricultural crop seeds,
forest crop seeds, seedlings and their breeding
materials

genetically modified crop and forest crop

forest or related activities
animal genetic materials
specific fisheries, fishing

Restricted Actions

planting, processing or
production of, operation, testing,
import, export, *without
permission*

transferring, introducing from
abroad, *without permission*

studying, production of,
processing and import, *without
permission*

operation, *without permission*
operation, *without permission*
operation or production of,
without permission

	animals	diagnosis and treatment, entry and exit quarantine, introduction or trial introduction, <i>without permission</i>
	pesticide	experiment, production of, operation, <i>without permission</i>
	animals	breeding, slaughtering, operation, <i>without permission or quarantine</i>
	raw milk	transportation or acquisition, <i>without permission</i>
Mining	mineral resources	exploration and development, production of, operation, foreign cooperation, <i>without permission or qualification</i>
Manufacturing	food	operation, production of, import, export, <i>without permission or qualification</i>
	tobacco, tobacco products, tobacco related products	planting, production of, <i>without permission or performing due process</i>
	vehicle registration certificate, driving license, number plate	making, <i>without qualification</i>
	specific business in printing and copying	engaging in, <i>without permission or qualification</i>
	nuclear-related items, radioactive items	production of, transportation and

specific chemicals or related projects	operation, <i>without permission</i> production of, operation, construction, <i>without permission</i>
civil explosives, fireworks	Production of, operation, blasting, <i>without permission</i>
cosmetic	production of, operation, <i>without permission</i>
pharmaceutical products, biological products	selling, import, export, <i>without permission or inspection</i>
veterinary medicine, veterinary biological products,	clinical trials, production of, import, export, <i>without permission</i>
medical equipment, health supplies	production of, import, export, <i>without permission</i>
weapons, firearms, other products and equipment related to public safety	R & D, manufacturing, distribution, configuration, purchase, transportation, <i>without permission</i>
ship, fishing boats	manufacturing, updating, configuring, import, operation, <i>without permission or performing due process</i>
aircraft, spacecraft and related equipment	producing, using(launching), <i>without permission or qualification</i>

	basic equipment for railway transportation	design, manufacturing, maintaining, import, <i>without permission</i>
	motor vehicle	production of, <i>without permission</i>
	special equipment, important industrial products	production of, operation, <i>without permission, certification, or qualification</i>
	telecommunication, radio and other equipment, computer information system security products	production of, import, operation, <i>without permission or qualification</i>
	seal	carving, operation, <i>without permission or qualification</i>
	commercial passport products	Production, quality inspection and evaluation, import and export, safety assessment, <i>without permission or qualification</i>
	magnitude transfer business	engaging in, <i>without permission or qualification</i>
	recycling business of scrapped cars	engaging in, <i>without qualification</i>
Power, Heat, Gas and Water Production and Supply	electricity and municipal public sector business	engaging in, <i>without permission</i>
Construction	construction, housing, civil engineering and	engaging in, <i>without permission</i>

Wholesale & Retail	<p>related project construction marine engineering construction and related project construction agricultural products, crude oil, and other specific commodities, technologies, and services</p> <p>import and export transportation, specific cargo storage, trade and other services (including transit) specific restricted goods, technology</p> <p>specific grain and oil</p> <p>auction, direct sales</p> <p>medicines, medical devices and other specific products</p> <p>tobacco, alcohol and related products</p>	<p><i>or performing due process</i> engaging in, <i>without performing due process</i> operation, trading, import, export, <i>without permission, quota, or qualification</i> engaging in, <i>without permission or performing due process</i></p> <p>operation, import, export, <i>without permission or performing due process</i> engaging in, <i>without permission or qualification</i> engaging in, <i>without permission or qualification</i></p> <p>wholesale and retail, operation, import, export, <i>without permission or performing due process</i></p> <p>wholesale and retail, operation, import, export, <i>without permission or performing due process</i></p>
Transportation &	construction of highways, transportation of water,	engaging in, <i>without permission</i>

Warehousing & Post

navigation-related projects and related businesses
passenger transportation, goods transportation

*or performing due process
operation, without permission or
qualification*

railway transportation
specific transport activities and specific supportive
activities

*operation, without permission
operation, without permission or
qualification*

civil airport, civil aviation transportation and other
supportive activities

*building, operation, without
permission*

warehouse logistics of bonded goods

engaging in without permission

post

engaging in, without permission

Accommodation & Dining

hotels

operation, without permission

Information Transmission

radio frequency, radio station, radio transmitting

using, setting, import, without

& Software & Information

equipment

permission

Technology Services

telecommunications network, communication

operation building, using,

resources

without permission

overseas satellite resources, international
communications gateway

*renting, establishing, without
permission*

specific business in news area

*investment in when exceeding
the equity limit*

electronic certification services, secret information
processing and related business

engaging in, without permission

Finance

banks, securities, insurance, fund institutions or
their equity structures

*establishing, altering, without
permission*

financial guarantees, pawns, micro financial
companies, credit agencies and other related
financial institutions

establishing, without permission

	financial business places, exchanges	establishing, <i>without permission or qualification</i>
	Specific financial business	engaging in, <i>without permission</i>
	treasury business	agent, <i>without permission or qualification</i>
	securities and futures services and payments business	non-financial institutions engaging in, <i>without permission or qualification</i>
	securities investment, derivative product issuance, foreign exchange	engaging in, <i>without permission, qualification or performing due process</i>
	stocks, specific listed companies	issuing, M&A, <i>without permission</i>
	RMB, technical equipment and materials, and related services.	printing, cross-Border transportation, <i>without permission or qualification</i>
	senior managers of financial institutions taking position	<i>without permission</i>
Real Estate	real estate	development, re-sale, <i>without qualification</i>
Leasing & Business Services	accounting, patent agency and related service	engaging in, <i>without permission</i>
	employment agency, immigration, labor dispatch and related agency service	engaging in, <i>without permission</i>
	security guard service	engaging in, <i>without permission</i>
	Travel agency, specific tourist service	establishing, operation, <i>without permission</i>

Science Study & Technology Service	foreign-related statistical survey	engaging in, <i>without permission</i>
	specific advertising business	engaging in, <i>without permission or performing due process</i>
	foreign economic & technical exhibition	holding, <i>without permission</i>
	specific human genetic resources and related business	engaging in, <i>without permission</i>
	research activities on animals and microorganism	engaging in, <i>without permission or qualification</i>
	specific geographic planning business	engaging in, <i>without permission or qualification</i>
	specific engineering technology service	engaging in, <i>without qualification</i>
	investigation, inspection, certification, accreditation, evaluation service	engaging in, <i>without qualification</i>
	geographic mapping, remote sensing service	engaging in, <i>without qualification</i>
	marine research activities	engaging in, <i>without permission</i>
Water Resources & Environment & Public Facilities Management	specific weather and earthquake related services	engaging in, <i>without permission or performing due process</i>
	water resource	development, exploitation, <i>without permission</i>
	resources within the scope of management, hydrological station and other areas where the soil and water are easily gone	development, exploitation, building, <i>without permission</i>
	pollutant	monitoring, storage, disposal, <i>without permission or qualification</i>
	wild life	catch, collection, import ,export,

	specific geographic area	<i>without permission</i> development, exploitation, <i>without permission</i>
	climate change substance, ozone depleting substances	production of, operation, <i>without permission or qualification</i>
	lightning protection devices in specific areas	design, construction, testing, <i>without permission or qualification</i>
Resident Services & Repair & Other Services	funeral facility	building, <i>without permission</i>
	state secret carrier	maintain, deploy , <i>without permission</i>
Education	private school , kindergarten	establishment, split, merge, change, termination, <i>without permission</i>
Health & Social Work	security guard service	carrying out, <i>without permission</i>
	medical institutions	establishment, <i>without permission or qualification</i>
	business involving public safety	investing, <i>without permission or qualification</i>
	business involving medical radioactive products	engaging in, <i>without permission or qualification</i>
	medical preparation, specific drugs	configuration, purchasing, usage, <i>without permission or qualification</i>
Culture & Sports & Entertainment	archeology, heritage conservation	<i>operation, without permission or qualification</i>

publishing and media institution, publishing and media related business	specific establishment, carrying out, <i>without permission or qualification</i>
specific culture products	import, export, <i>without permission or qualification</i>
radio and TV related facilities, frequency band of radio and television	dedicated production of, operation, installation, usage, import, <i>without permission or qualification</i>
broadcasting, film	production, introduction, screening, <i>without permission or qualification</i>
lottery tickets	publication, <i>without permission or qualification</i>
cultural and arts activities, entertainment venues, cultural and sports business	holding, performing, operation, <i>without permission or qualification</i>
gaming equipment	selling, <i>without permission or examination</i>

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Catalogue of Investment Projects Subject to the Approval of Government

Restricted Areas	Restricted Items	Restricted Actions
Agriculture & Water Resource	specific agriculture, water and energy projects	investing, <i>without permission or qualification</i>
Transportation	transportation business	investing, <i>without permission</i>
Information technology	specific information industry	investing, <i>without permission</i>
Mineral	specific raw materials	investing, <i>without permission or qualification</i>
Manufacturing	specific machinery manufacturing projects, specific light industry project, urban construction project, specific high-tech projects, specific social projects	investing, <i>without permission or qualification</i>

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Catalogue of Internet Market Access Subject to the Approval of Government

Restricted Areas	Restricted Items	Restricted Actions
Internet	<p>online car-hailing platform</p> <p>information transfer and service</p> <p>internet financial information service</p> <p>Internet agency, internet business service, internet culture and entertainment services, internet gaming services</p> <p>critical network equipment and cyber security products</p>	<p>operation, <i>without permission or qualification</i></p> <p>operation, <i>without permission</i></p> <p>providing, <i>without permission</i></p> <p>operation, <i>without permission</i></p> <p>selling, providing, <i>without certification and examination</i></p> <p>operation, <i>without qualification</i></p>
Society work	foreign aid projects	

Other items:

Market players who have acquired permission by making commitment to fulfill obligations but fail to do so, they will be restricted to access to related industries according to law;

Enterprises that have severely violated the law will be restricted or prohibited from entering related industries;

Other industries may require permission before investments as stipulated by laws, regulations, decrees of the State Council and Provincial Governments.

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